

3

IF
Gp 2665

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re U.S. Patent Application of:
Nan Shan Hwu

Application No. 09/707,690

Filed: November 7, 2000

For: METHOD AND APPARATUS FOR AN
ACTIVE STANDBY CONTROL
SYSTEM ON A NETWORK



Examiner: T. Nguyen

Group Art Unit: 2665

Conf No: 9539

Atty Docket No: SAA-54 (402P237)

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

MAIL STOP AMENDMENT

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98, Applicant submits herewith Form PTO-1449, "Supplemental Information Disclosure Statement."

The Examiner is requested to consider carefully the complete text of the listed references in connection with the examination of this application. It is requested that the listed references be made of record and included in the "References Cited" portion of any patent issuing from this application.

The references cited on Form PTO-1449 were cited to or by the U.S. Patent and Trademark Office during prosecution of related issued U.S. Patent Nos. 6,233,626; 6,327,511; and 6,466,995. Other references cited in such patents were previously submitted in an Information Disclosure Statement accompanying the present RCE filed July 1, 2005 and in a Supplemental Information Disclosure Statement filed October 3, 2005.

Applicant's attorneys were originally advised by an associate who reviewed the file histories of the related patents at the U.S. Patent and Trademark Office that copies of three of the references cited on Forms PTO-1449 for the related patents could not be located in the Patent Office files. Therefore, on October 3, 2005, a Supplemental Information Disclosure Statement was mailed to the Patent Office for the present application without those references.

Subsequently, on October 5, 2005, Applicant's attorneys received a telephone call from their

Supplemental Information Disclosure Statement
U.S. Application No. 09/707,690

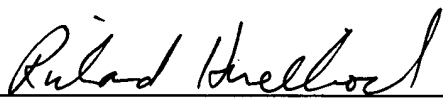
Page 2

associate indicating that two of the three references were found and copied. Those references are enclosed herein.

Since this Statement is being filed before the mailing date of a first Official Action after the filing of the Request for Continued Examination, no fee is necessary. Please charge any fee associated with this Communication to our Deposit Account No. 23-0280.

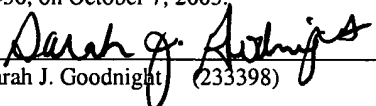
Respectfully submitted,

Date: October 7, 2005

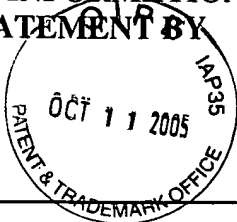
By: 
Richard C. Himelhoch, Reg. No. 35,544
Wallenstein Wagner & Rockey, Ltd.
311 S. Wacker Drive, 53rd Floor
Chicago, Illinois 60606-6622
(312) 554-3300

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450, on October 7, 2005.


Sarah J. Goodnight (233398)

**SUPPLEMENTAL INFORMATION
DISCLOSURE STATEMENT BY
APPLICANT**
PTO-1449



Attorney Docket No.: SAA-54 (402P237)
Appln. No.: 09/707,690
Applicant: Nan Shan Hwu
Filing Date: November 7, 2000
Art Unit: 2665

U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name

FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Country	Trans.

OTHER DOCUMENTS

Examiner Initial	
	Rockwell International Corporation - Choices - Perspectives on the Future of Automation Control, 1997, page 1.
	Rockwell International Corporation - Allen-Bradley - Networks - Ethernet for Industrial Control - An Ethernet White Paper - April 21, 1998, pages 1-13.

Examiner: _____ Date _____
Considered: _____

Examiner: Initial if Citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

(233395)